



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/082,468	02/25/2002	Shawn Domenic Loveland	13768.254	4394

47973 7590 12/15/2006

WORKMAN NYDEGGER/MICROSOFT
1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPLE
SALT LAKE CITY, UT 84111

EXAMINER

AVELLINO, JOSEPH E

ART UNIT	PAPER NUMBER
----------	--------------

2143

DATE MAILED: 12/15/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/082,468

Applicant(s)

LOVELAND ET AL.

Examiner

Joseph E. Avellino

Art Unit

2143

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 22 November 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-31, 35 and 36 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-31, 35 and 36 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 22 June 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

DETAILED ACTION

1. Claims 1-31 and 35-36 are pending in this application.

Claim Rejections - 35 USC § 103

1. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
2. Claims 1-7, 11-18, 21-29, 31 and 35-36, are rejected under 35 U.S.C. 103(a) as being unpatentable over Anderson et al. (U.S. 2002/0194307 A1) hereinafter 'Anderson' in view of Oppenheimer et al (US 2003/0014477 A1) hereinafter "Oppenheimer" in view of Eldridge et al. (USPN 6,397,261) (hereinafter Eldridge II).

Regarding claims 1, 11-12, 21-23, 30-31 and 35-36, Anderson taught, sending from the client over the wireless network a document inclusion instruction to the server, not including the document, but including an identification of the document that does not depend on the server prior knowledge about the document, therefore the server would retrieve the document based on the client identification of the document (see section 0040 of the specifications [e.g. fetching or querying the store or a database with a list of the content of the store]) and not based on the server prior knowledge of existing attachable files (Anderson: fig.1 [mobile device 153] and paragraphs 0025, 0030-0036); **and** (as per claims 1, 11, 23 and 30) if the identified document is already stored at the server, the client's document-inclusion being sufficient to permit the server to carry out

Art Unit: 2143

the instruction and the client therefore taking no further action (Anderson: paragraphs 0036-0038 and 0039 [explaining client's action that do not depend on whether the document is identifiable or not]). Furthermore Anderson discloses displaying to a user an indication that the document is attached to a message in response to user input received instructing a document stored at the client to be attached to the message (p. 3, ¶ 30).

Anderson did not expressly taught details regarding the course of action to be performed after the server indicates to the client that the file was not found (Anderson: paragraph 0077).

Oppenheimer taught sending an identifier of a file to be used to determine if another copy of the file is already stored on the server 204, then if the file already exist in the server no further action ("need not be uploaded") is required by the user (Oppenheimer: paragraph 0045), but if the identified document is not already store at the server, then receiving from the server an indication that the document identified in the document-inclusion instruction is unavailable so the client may determine whether to send the identified document or not (Oppenheimer: paragraph 0046); or (as per claims 21 and 35) ensuring that a document-inclusion operation corresponding to the document-inclusion instruction is performed by the client if and only if the client is advised by the server that the identified document is not already stored at the server so

Art Unit: 2143

as to conserve the network bandwidth of the wireless network (Oppenheimer: paragraph 0046).

It would have been obvious to one of ordinary skill in the art working with Anderson at the time the invention was made to modify the teachings of Anderson with the teachings of Oppenheimer, since both motivated the exploration of the art of retrieving a document on behalf of a user and indicating the user that the file is not found within the server (Oppenheimer: 0045-0046 and Anderson: 0036-0037 and 0040). The combination would have improved Anderson teachings by providing the document retrieval operation even when the file is available to the client (Oppenheimer: 0045) and further providing a clear course of action to provide the document to the server when the server is not able to find the document (Oppenheimer: 0046). Although Oppenheimer does not explicitly state that the client determines whether to send the document to the server, rather merely states that the file is downloaded from the client (p. 6, ¶ 46) one of ordinary skill in the art would find this feature obvious in order to conserve bandwidth on the users network, thereby allowing more control over what the computer does and how it utilizes the bandwidth (i.e. defer upload until a later time, when allowed by the user, etc.).

Anderson in view of Oppenheimer did not specifically state displaying to a user an indication that the document is attached to a message even when the document is not attached to the message. In analogous art, Eldridge II discloses another client that is connectable over a wireless network to a server so that the client may transmit document inclusion operations (i.e. tokens) that are intended to be carried out by the

Art Unit: 2143

server which displays to a user an indication that the document is attached to a message even when the document is not attached to the message, such that whether or not the document is actually attached is transparent to the user (i.e. the user is not notified that a document token is substituted; "large attachment files are automatically replaced by sender's email client 202") (col. 7, lines 15-29, 40-55). It would have been obvious to one of ordinary skill in the art to combine the teaching of Eldridge with Anderson and Oppenheimer in order to provide a way to transmit secure documents which would minimize the impact on data throughput on email servers when large files are attached to email servers as supported by Eldridge II (col. 2, lines 25-30).

Anderson modified by the teachings of Oppenheimer and Eldridge II is hereinafter referenced to as the combination.

Regarding claims 2, 3, 13, 14, 24 and 25, the combination further taught sending the document-inclusion instruction in a new electronic mail message and forwarding an electronic mail message (Anderson: 0040 ["then the user may specify a destination address for the document 189 in the request email message transmitted to the document retriever 186"])).

Regarding claims 4, 5, 15, 16, 26 and 27, the combination further taught that the calendar capabilities were included in the range of capabilities offered by PDA's and other portable devices (Anderson: 0002). The examiner additionally notes that other

Art Unit: 2143

portable devices include personal portable computers (laptops) with extended processing capabilities. It is further noticed that such portable devices such as laptop computers were commonly equipped with electronic mail clients such as MS Outlook® (MUA or Mail User Agent) to interface with electronic mail servers such as MS Exchange (MTA or Mail Transfer Agent). It is further noticed that MS Outlook® and MS Exchange provided document attachment capabilities in meeting requests at the time the instant invention was made. Since extending the attachment capabilities to other services provided by an email client was already well known in the art, extending document inclusion capabilities related to the elimination of attachments to such other services would be a matter of choice.

Regarding claims 6, 7, 17, 18, 28 and 29, the combination further taught printing in a particular printer using the document-inclusion instruction (Anderson: 0040 [*"The reply email message is then transmitted to the corresponding automated print agent 166 designated to receive the emails addressed to the printer alias 186 wherever it resides in the local area network 109 to print the document 189 on the printer 136 as was described previously"*])).

3. Claims 8, 9, 10, 19, 20, are rejected under 35 U.S.C. 103(a) as being unpatentable over Anderson et al. (U.S. 2002/0194307 A1) hereinafter 'Anderson' in view of Oppenheimer et al (US 2003/0014477 A1) hereinafter "Oppenheimer" in view of Eldridge II and further in view of LaRue et al. (U.S. 6,535,892 B1) hereinafter 'LaRue'.

Regarding claims 8, 9, 10, 19, 20 the combination taught the invention substantially as claimed, however the combination did not expressly teach details regarding a client and a server performing a version update process upon identifying that the requested version is unavailable. Nevertheless, the combination motivated the exploration of the art of using a digest or file identification information to determine if an identical version of the file is already stored within server 204 as appropriate (Oppenheimer: 0045).

LaRue taught a client that determines version conflicts between client and server versions of the same document, the client sending version indicator and the changes in comparison with the found version in order to synchronize a dataset (column 12 line 48 to column 13 line 37).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teachings of the combination with the teachings of LaRue *in order to resolve version conflicts* (column 12 line 48 to column 13 line 37). Note that sending the whole document in a synchronization process is a normal procedure when document is not found at all, since there is no document to synchronize with. One of ordinary skill in the art working with the combination would be motivated to explore the art of electronic messaging and the use of portable devices to access a messaging system (Anderson: [0002 and 0008]); which is also part of LaRue disclosure (column 1 lines 59-64, column 2 lines 32-46 and column 3 lines 1-31). One of ordinary skill in the art would also be motivated the exploration of the art of using a digest or file

Art Unit: 2143

identification information to determine if an identical version of the file is already stored within server 204 as appropriate (Oppenheimer: 0045). Therefore, combining the combination with the teachings of LaRue (column 12 line 48 to column 13 line 37) would provide a resolution mechanism to act in response to a negative notification, responsive to a document-inclusion instruction (Anderson: 0076-0077). *The combination would have resulted improved by adding an efficient method to further overcome problems entailed by a document not found error or an outdated version.*

Response to Arguments

1. Applicant's arguments dated November 22, 2006 have been fully considered but are moot in view of the new grounds of rejection presented above.

Conclusion

2. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
3. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

Art Unit: 2143

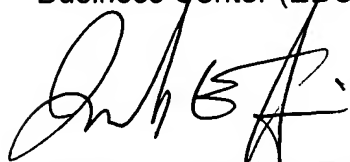
A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joseph E. Avellino whose telephone number is (571) 272-3905. The examiner can normally be reached on Monday-Friday 7:00-4:00.

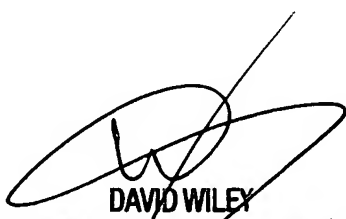
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David A. Wiley can be reached on (571) 272-3923. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2143

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Joseph E. Avellino, Examiner
December 7, 2006



DAVID WILEY
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100